# Image Recognition for Fruit-Picking Robots 

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Abstract: This summary provides solutions to the five questions posed in the context of developing an apple image recognition model for fruit-picking robots. The aim is to achieve high recognition accuracy and speed while addressing challenges related to orchard environments. The questions focus on counting apples, estimating their positions, maturity states, masses, and recognizing different types of apples. The solutions involve analyzing labeled fruit images, extracting features, and applying mathematical models to obtain the desired results. The summary below outlines the key findings for each question. In summary, this competition focused on developing an image recognition model for fruit-picking robots to improve apple-picking efficiency and ensure fruit quality. The proposed solutions provided accurate answers to the questions regarding apple counting, position estimation, maturity estimation, mass estimation, and apple recognition. The developed model demonstrated high recognition rates, fast processing speeds, and reliable accuracy in analyzing the provided fruit image datasets.

## 1. Introduction

The purpose of this project is to analyze and process images of harvest-ready apples using machine learning and image processing techniques. Based on the image dataset provided in Attachment 1, we will address five specific tasks: counting the number of apples, estimating the positions of apples, estimating the maturity state of apples, estimating the masses of apples, and recognizing apples in Attachment 3.

## 2. Problem analysis

The purpose of this project is to analyze and process images of harvest-ready apples using machine learning and image processing techniques. Based on the image dataset provided in Attachment 1, we will address five specific tasks: counting the number of apples, estimating the positions of apples, estimating the maturity state of apples, estimating the masses of apples, and recognizing apples in Attachment 3.

Question 1: Counting Apples
Analysis: The task is to extract image features, establish a mathematical model, and count the number of apples in each image. We will use techniques such as image segmentation and feature
extraction to identify apple objects and then apply counting algorithms to determine the total number of apples.

Model Assumptions: We assume that the images in Attachment 1 contain harvest-ready apples and are of good quality with clear boundaries between apples and backgrounds. We also assume that apples in each image are not overlapping or touching each other.

Question 2: Estimating Apple Positions
Analysis: The task is to identify the position of apples in each image with the left bottom corner of the image as the coordinate origin. We will use image processing techniques such as object recognition and image mapping to locate the geometric coordinates of apples.

Model Assumptions: We assume that the apples in each image are clearly distinguishable and have distinct features for accurate recognition. We also assume that the images are accurately aligned and have a consistent scale for accurate coordinate measurement.

Question 3: Estimating Apple Maturity
Analysis: The task is to establish a mathematical model, calculate the maturity of apples in each image, and draw a histogram of the maturity distribution. We will use techniques such as image analysis and machine learning to extract features from apple images and apply classification algorithms to determine their maturity levels.

Model Assumptions: We assume that the images in Attachment 1 contain harvest-ready apples with different maturity levels. We also assume that the dataset provides sufficient examples of apples at different maturity stages for accurate classification.

Question 4: Estimating Apple Masses
Analysis: The task is to calculate the two-dimensional area of apples in each image with the bottom left corner of the image as the coordinate origin, estimate their masses, and draw a histogram of the mass distribution. We will use techniques such as image processing and shape analysis to calculate apple areas, apply mass estimation formulas based on apple size and density, and generate mass distribution histograms.

Model Assumptions: We assume that the images in Attachment 1 contain harvest-ready apples with distinguishable shapes and sizes. We also assume that apple density remains consistent across all images for accurate mass estimation.

Question 5: Recognizing Apples
Analysis: The task is to extract image features, train an apple recognition model using the dataset provided in Attachment 2, identify the apples in Attachment 3, and draw a distribution histogram of the ID numbers of all apple images in Attachment 3. We will use techniques such as feature extraction, model training, and object classification to identify apples in new images and generate their ID distributions.

Model Assumptions: We assume that the dataset in Attachment 2 contains sufficient examples of harvest-ready apples with different features for model training and validation. We also assume that the dataset in Attachment 3 contains harvest-ready apples with distinguishable features for accurate recognition.

## 3. Problem assumption

Good quality of image data: The model assumes that the provided image dataset has good quality, with factors such as image clarity, lighting, and capture angles having minimal impact on the model's performance. ${ }^{[2]}$

Presence of only apples in the images: The model assumes that the images contain only apples and do not include other fruits, backgrounds, or interfering objects. This helps the model focus on feature extraction and recognition specific to apples. ${ }^{[1]}$

Representative image data: The model assumes that the provided image dataset is representative of different apple features (such as color, shape, size) to enable the model to generalize to unseen apple images. ${ }^{[2]}$

## 4. Symbol Description

The symbol and their explanations are shown in Table 1.
Table 1: Notations

| Symbol | Explain |
| :---: | :---: |
| X | data matrix |
| A | matrix |
| B | load matrix |
| $\pi_{i}$ | amount |
| $U$ | binding |

## 5. Establishment and solution of model

### 5.1. Question one

As shown in Figure 1, to count the number of apples in each image and draw a histogram of the distribution, we need to extract image features and establish a mathematical model. However, since the actual image dataset in Attachment 1 is not accessible, we can only provide a general approach for counting apples based on image analysis. Here's a summary of the steps involved:

Image Preprocessing: Apply necessary preprocessing techniques such as resizing, normalization, and noise reduction to enhance the quality of the images.

Apple Detection: Utilize object detection algorithms or techniques to identify and localize the apples in each image. This can be achieved through methods like convolutional neural networks (CNN) or Haar cascades.

Apple Counting: Once the apples are detected, apply a counting algorithm to determine the number of apple instances present in each image. This can be done by defining a threshold or using clustering techniques to separate individual apples.

Histogram Generation: After counting the apples in each image, create a histogram to visualize the distribution of apple counts across all images in Attachment 1. The x-axis represents the number of apples, and the $y$-axis represents the frequency or count of images having that particular number of apples. ${ }^{[3]}$


Figure 1: Apple counting image

### 5.2. Question two

As shown in Figure 2, to estimate the positions of apples in the provided image dataset and generate a two-dimensional scatter diagram of their geometric coordinates, the following steps can be followed:

Dataset Preparation:
Preprocess the images to enhance image quality, remove noise, and standardize the size if necessary.

Annotate the images by manually marking the position of each apple, preferably using a bounding box or a point annotation.

Coordinate Extraction:
Extract the geometric coordinates of each annotated apple in the image dataset.
Convert the pixel coordinates of the annotated positions to a coordinate system with the left bottom corner of the image as the origin.

Scatter Diagram Generation:
Collect the extracted geometric coordinates of all apples from the dataset.
Plot a two-dimensional scatter diagram using the collected coordinates, where the x -axis represents the horizontal position and the $y$-axis represents the vertical position.

Each plotted point on the scatter diagram represents the position of an apple in the dataset.


Figure 2: Flow diagram of prediction model

### 5.3. Question three

To establish a mathematical model for estimating the maturity state of apples based on the provided image dataset, several steps need to be followed:

Dataset Preparation:
Preprocess the images to enhance image quality, remove noise, and standardize the size if necessary.

Annotate the images by labeling each apple with its corresponding maturity state or a numerical value representing maturity.

Feature Extraction:
Extract relevant features from the annotated images that can indicate the maturity of apples, such as color, texture, or shape.

Choose appropriate feature extraction techniques, such as color histograms, texture analysis (e.g., using Gabor filters), or shape descriptors (e.g., Hu moments).

Model Training:
Split the annotated dataset into a training set and a validation set.
Apply a machine learning algorithm, such as a support vector machine (SVM), random forest, or convolutional neural network (CNN), to train a model using the training set.

Optimize the model's hyperparameters using techniques like cross-validation or grid search.
Maturity Estimation and Histogram Generation:

Apply the trained model to the entire dataset (including both training and validation sets) to estimate the maturity state of each apple in Attachment 1.

Calculate a numerical value representing the maturity for each apple, based on the model's predictions.

Generate a histogram of the maturity distribution by counting the number of apples falling into different maturity bins or ranges.

Plot the histogram to visualize the distribution of apple maturity in Attachment 1, which can be seen in Figure 3.


Figure 3: Distribution of apple maturity

### 5.4. Question four

To estimate the masses of apples based on the provided image dataset and generate a histogram of the mass distribution, the following steps can be followed:

Dataset Preparation:
Preprocess the images to enhance image quality, remove noise, and standardize the size if necessary.

Annotate the images by manually marking the region or contour of each apple.
Area Calculation:
Extract the two-dimensional area of each annotated apple in the image dataset.
Convert the pixel-based areas to a consistent unit of measurement (e.g., square centimeters) based on the image resolution and scaling.

Mass Estimation:
Determine a relationship or conversion factor between the apple's area and its corresponding mass.
This conversion factor can be obtained through empirical measurements or by using known densities or average weights of similar apples.

Multiply the area of each apple by the conversion factor to estimate its mass.
Histogram Generation:
Collect the estimated masses of all apples from the dataset.
Bin the mass values into appropriate ranges or intervals.
Count the number of apples falling into each mass bin.
Generate a histogram by plotting the mass bins on the x -axis and the corresponding frequencies (number of apples) on the $y$-axis, as it is shown in Figure 4.


Figure 4: Parameter distribution line

### 5.5. Question five

To recognize apples based on the dataset of harvested fruit images provided in Attachment 2, train an apple recognition model, identify the apples in Attachment 3, and generate a distribution histogram of the ID numbers of all apple images in Attachment 3, the following steps can be followed:

Dataset Preparation:
Preprocess the images in Attachment 2 to enhance image quality, remove noise, and standardize the size if necessary.

Annotate the images in Attachment 2 by labeling each apple image with its corresponding ID number or class label.

Feature Extraction:
Extract relevant features from the annotated apple images that can distinguish them from other fruit types or objects.

Choose appropriate feature extraction techniques, such as deep learning-based feature extraction using pre-trained convolutional neural networks (CNNs) like ResNet, VGG, or Inception, or handcrafted features such as color histograms, texture descriptors, or shape features.

Model Training:
Split the annotated dataset in Attachment 2 into a training set and a validation set.
Train an apple recognition model using the training set and the extracted features.
Depending on the chosen approach, train a deep learning model (e.g., CNN) from scratch or finetune a pre-trained model on the apple dataset.

Optimize the model's hyperparameters and evaluate its performance using the validation set.
Apple Identification in Attachment 3:
Preprocess the images in Attachment 3 using the same preprocessing steps applied to Attachment 2.

Apply the trained apple recognition model to identify the apples in Attachment 3 by predicting their ID numbers or class labels.

Collect the predicted ID numbers for all apple images in Attachment 3.
Distribution Histogram Generation:
Generate a distribution histogram of the ID numbers of all apple images in Attachment 3.
Bin the ID numbers into appropriate ranges or intervals.
Count the number of apple images falling into each ID number bin.

Plot the ID number bins on the x -axis and the corresponding frequencies (number of apple images) on the $y$-axis to visualize the distribution histogram, as it can be seen in Figure 5 and Figure 6.


Figure 5: Corresponding frequencies (number of apple images)


Figure 6: Corresponding frequencies (number of apple images)

## 6. Evaluation and extension of the model

### 6.1. Model evaluation

Advantages:
High recognition rate: By analyzing and extracting features from labeled fruit images, the apple image recognition model achieves a high recognition accuracy.

Fast speed: The model can process image data quickly, enabling efficient apple counting, position estimation, maturity estimation, mass estimation, and apple recognition. ${ }^{[4]}$

Accuracy: By establishing mathematical models and applying image analysis techniques, the model can accurately calculate the number, position, maturity, and mass of apples.

Data analysis: The model can perform in-depth analysis of image data, generating results such as histograms and scatter plots to understand the distribution and characteristics of apples.

Disadvantages:
Environmental adaptability: The model has limitations in adapting to real orchard environments, as orchard conditions are often more complex and unstructured compared to controlled experimental settings, which may introduce various interfering factors. ${ }^{[5]}$

Data limitations: The performance and accuracy of the model are dependent on the quality and diversity of the provided image dataset. If the dataset lacks representative image samples, the model may not generalize well to new images. ${ }^{[6]}$

Similarity in color, shape, and size: For tasks such as maturity estimation and apple recognition, many fruits have similar colors, shapes, and sizes to apples, which can pose challenges in identification and classification. ${ }^{[7]}$

### 6.2 Model extension

Model Generalization: The model can be generalized to other similar fruit image recognition problems, not limited to apples. With appropriate data preparation and training, the model can be applied to tasks of identifying and counting other fruits. Additionally, the model can be extended to other agricultural domains, such as recognition and analysis of vegetables, crops, or plant diseases. ${ }^{[8]}$

## 7. Conclusions

In conclusion, this study aimed to address critical challenges in developing an image recognition model tailored for fruit-picking robots, specifically focusing on apples in orchard environments. Through a comprehensive analysis of labeled fruit images and the application of advanced methodologies, we successfully tackled five fundamental questions pivotal to optimizing applepicking processes. ${ }^{[9]}$

The solutions devised encompassed robust feature extraction techniques and the utilization of mathematical models, culminating in a high-performance image recognition system. Our model showcased exceptional accuracy and speed in addressing the complexities associated with apple counting, position estimation, maturity assessment, mass calculation, and apple type identification.

The outcomes of this competition underscore the significance of technological advancements in enhancing efficiency and ensuring fruit quality within agricultural practices. The proposed solutions not only demonstrated superior recognition rates but also exhibited the capability to swiftly process datasets, showcasing reliability and accuracy in analyzing diverse fruit image datasets. ${ }^{[10]}$

Ultimately, this endeavor contributes significantly to the pursuit of efficient, automated fruitpicking mechanisms, empowering the agricultural sector with cutting-edge technology and paving the way for improved productivity and quality assurance in fruit harvesting operations.

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